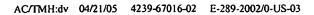


International Search Report.

JC10 Rec'd PCT/PTO 2 1 APR 2005

EXPRESS MAIL LABEL NO. EV510808507US DATE OF DEPOSIT: April 21, 2005

ATTORNEYS DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 4239-67016-02 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO (If known, see CONCERNING A NATIONAL STAGE FILING UNDER 35 U.S.C. § 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED October 25, 2002 PCT/US2003/034023 October 24, 2003 TITLE OF INVENTION METHODS TO PREVENT TUMOR RECURRENCE BY BLOCKADE OF TGF-BETA APPLICANT(S) FOR DO/EO/US Jay A. Berzofsky; Masaki Terabe; So Matsui Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371. 2. This is an express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1). Items 5, 6, 9 and 21 indicated below are submitted to make this express request. The United States has been elected in a Demand for International Preliminary Examination (Article 31). 5. A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. 🛛 is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English-language translation of the International Application (35 U.S.C. § 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) a. A are attached hereto (required only if not communicated by the International Bureau to the United States Receiving Office). b. \square have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English-language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)) (signed) 10. An English-language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)). Items 11 to 21 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98, Form 1449 and 56 references cited thereon. 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 and the Recordal fee of \$40.00 are included. 13. A preliminary amendment, including an Abstract on a separate page 14. An Application Data Sheet under 37 C.F.R. § 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. §§ 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. § 154(d)(4). 19. A second copy of the English-language translation of the international application under 35 U.S.C. § 154(d)(4). 20. Other items or information:



Docketing

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EXPRESS MAIL LABEL NO. EV510808507US DATE OF DEPOSIT: April 21, 2005

| U.S. APPLICATIO | N NO (If kee | WE seed? CI | R. \$1.57 1. | INTERNATIONAL APPLICA | | | | ORNEY'S DOCKET | | |
|---|--|-------------|------------------------------|----------------------------|-----------|---------|-----------------------|----------------|-----|--|
| 10/5323/4 PCT/US2003/034023 The following fees are submitted: | | | | | | | | 4239-67016-02 | | |
| 21. Basic national fee\$300 | | | | | | | | 300.00 | | |
| 22. Examination fee | | | | | | | \$ | 200.00 | | |
| If international preliminary examination report prepared by USPTO and all claims satisfy novelty, | | | | | | | " | 200.00 | | |
| nonobviousness (inventive step) and utility (industrial applicability) i.e., provisions of PCT Article 33(1)-(4)\$100 | | | | | | | | | | |
| All other situations\$200 | | | | | | | | | | |
| 23. Search fee Search fee (37 C.F.R. 1.445(a)(2)) has been paid on the international application to the USPTO as | | | | | | | \$ | 100.00 | | |
| an International Searching Authority | | | | | | | | | | |
| International Search Report prepared and provided to the Office\$400 | | | | | | | | | | |
| All other situations | | | | | | | S | 600.00 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence | | | | | | | 9 | 000.00 | | |
| listing or computer program listing filed in electronic medium). The fee is \$250 for each additional | | | | | | | | | | |
| 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof RATE | | | | | | | | | | |
| Total Slicets | Extra Sileets | | (round up to a whole number) | | | KAIL | | | | |
| 53 - 100 | 53 - 100 0 / 50 = | | 0 | | | x \$250 | \$ | 0.00 | | |
| | | | | | | | ļ | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)). | | | | | | | \$ | | | |
| • | | | BER FILED | | | RATE | - | | | |
| Total claims | Total claims 3 | | - 20 = | 10 | x \$50.00 | | \$ | 500.00 | | |
| Independent Claims 5 | | -3 = 2 | | x \$200.00 | | \$ | 400.00 | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 | | | | | | | \$ | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | \$_ | 1,500.00 | | |
| Reduction of 1/2 for filing by small entity. Small entity status is claimed for this application. | | | | | | | \$ | | | |
| - SUBTOTAL = | | | | | | | \$ | 1,500.00 | • • | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. §§ 1.492(f)). | | | | | | | \$ | | | |
| TOTAL NATIONAL FEE = | | | | | | | \$ | 1,500.00 | | |
| Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be | | | | | | | \$ | 40.00 | | |
| accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property. + TOTAL FEES ENCLOSED = | | | | | | | \$ | 1,540.00 | | |
| TOTAL PLES ENCLOSED - | | | | | | | | unt to be | \$ | |
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| a. 🛭 A cl | heck in the | amount of | \$ <u>1,540.00</u> to | cover the above fees is en | closed. | | | | | |
| | Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | _ | | | | | | | | | |
| | Account No. <u>02-4550</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| _ | Please return the enclosed postcard to confirm that the items listed above have been received. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
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| SEND ALL CORRESPONDENCE TO THE ADDRESS ASSOCIATED WITH | | | | | | | 1 | Carle | un | |
| CUSTOMER NUMBER 36218 | | | | | | | | | | |
| Anne Carlson, Ph.D. | | | | | | | | | | |
| | - | SPARKM | | | NAME | | | | | |
| | One World Trade Center, Suite 1600 121 S.W. Salmon Street 47.472 | | | | | | | | | |
| Portland, OR 97204-2988 REGISTRATION NUMBER | | | | | | | | | | |
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